Independent Review of NI Charity Regulation: Let us hear your voice!
STTUTORY OBJECTIVES

- Increase public confidence
- Promote public benefit awareness
- Promote compliance by charity trustees with their legal obligations
- Enhance charity accountability to donors, beneficiaries and the public
- Promote effective use of charitable resources
Increase public confidence
Promote public benefit awareness
Promote compliance by charity trustees with their legal obligations
Promote effective use of charitable resources
Enhance charity accountability to donors, beneficiaries and the public
Obtain, evaluate and disseminate information re the performance of CCNI objectives and functions
Inform and advise DfC on matters relating to objectives and functions
Take remedial/protective action if misconduct/mismanagement
Identify and investigate apparent misconduct/mismanagement in admin of charities
Encourage and facilitate better admin of charities
Determine if institution is/is not a charity and maintain accurate Register

[Determine whether public collection certs should be issued or remain in force]
Stakeholder Priorities for Today: Vote now!

1. Registration Issues
2. Annual Reporting Issues
3. Day to day Engagement with the Commission
4. Compliance Procedures
5. Investigation Powers of Commission
6. Enforcement Powers and Appeals
7. Commission Delegation Powers
8. The Dept’s role in Charity regulation

INDEPENDENT REVIEW PANEL OF CHARITY REGULATION NI
1. Registration

What has been your experience of the registration process to date?

What works?

Is the existing registration process the best means for the Commission to deliver and maintain an accurate register of all charities in Northern Ireland?

What does not work?
2. Annual Reporting

What has been your experience of preparing your annual reports for the Charity Commission?

Are the existing charity reporting regulations sufficiently proportionate?

Should there be a financial threshold below which independent examination is not required?

What works?

What could work better?
3. Tell us about your engagement experiences with the Commission . . .

- Day-to-day routine contact
- Dealing with a concern raised about a charity
- CCNI website guidance
- Freedom of Information Requests
- Seeking Commission consent
Tell us about your experiences of complying with charity law requirements and Charity Commission oversight . . . .

4.

Routine and proactive Commission monitoring
Externally generated concerns by third parties
Self Regulation Guidance from the Commission
Commission Regulatory Guidance and Follow up

COMPLIANCE
RULES STANDARDS POLICIES REQUIREMENTS REGULATIONS TRANSPARENCY LAW
5. Investigation Powers

In performing its functions, the Commission must act in a manner that is proportionate, accountable, consistent, transparent, and targeted only at cases in which action is needed.
6. Enforcement Powers

In implementing the Charities Act, has the Commission struck the right balance between supporting charities to do the right thing and deterring or dealing with misconduct??

What has been your experience of the Commission’s internal decision review process?

What works?

What could work better?
7. What decision powers should Commissioners be able to delegate to Commission staff?

Are there areas in which it is important for Commissioners to be directly involved in decision making?

Should more administrative decisions, e.g., charity registration or making of schemes be delegable?

Is it appropriate to delegate some decisions to staff?

What types of decisions should not be delegated?
Why?

What decision powers should Commissioners be able to delegate to Commission staff?
Are there gaps in the regulatory framework that the Department for Communities needs to fill?

Commencement of Charitable Incorporated Organisations (CIOs)?

Commencement of s.167 institution registration and regulation?

Are you subject to charity regulation elsewhere? Do Regulators need to cooperate better?

8. The Department’s Role in Regulation
Stakeholder Priorities for Today

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Thank you for your attendance and participation at today’s webinar session.

We value your views and your input into this Review Process.

The time has flown!

Please visit our webpage and complete the online questionnaire so that we can capture your written feedback on all these areas in more detail:
https://www.communities-ni.gov.uk/articles/independent-review-charity-regulation